



Docket No.: 1330.1013C2

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Wm

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Donald A. SMALLEY, et al.

Serial No. 09/837,428

Group Art Unit: 2171

Confirmation No. 8921

Filed: April 19, 2001

Examiner: To be Assigned

For: SYSTEM FOR MANAGING REGULATED ENTITIES

SUPPLEMENTAL PRELIMINARY AMENDMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RECEIVED

MAY 09 2003

Technology Center 2100

Sir:

Prior to the Examination of the above identified application the following amendments and remarks are respectfully submitted. Consideration of the claims is respectfully requested.

IN THE CLAIMS:

Please ADD new claims 73-89 in accordance with the following:

73. (New) A system for managing regulatory programming information as applied to a plurality of regulated entities, comprising:

a user interface inputting operational data for selected subject items of a selected regulated entity for storage as regulatory information where at least one subject item of at least one regulated entity relates to a plurality of regulatory program areas; and

a centralized database storing the operational data as the regulatory information on a plurality of subject items for a plurality of regulated entities where the regulatory information stored in the centralized database is accessible to a plurality of departments within a regulatory agency that are responsible for different regulatory program areas.

74. (New) The system of claim 73, wherein the centralized database further comprises a requirements library that defines standard requirements for each subject item.

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02 FC:1202

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